

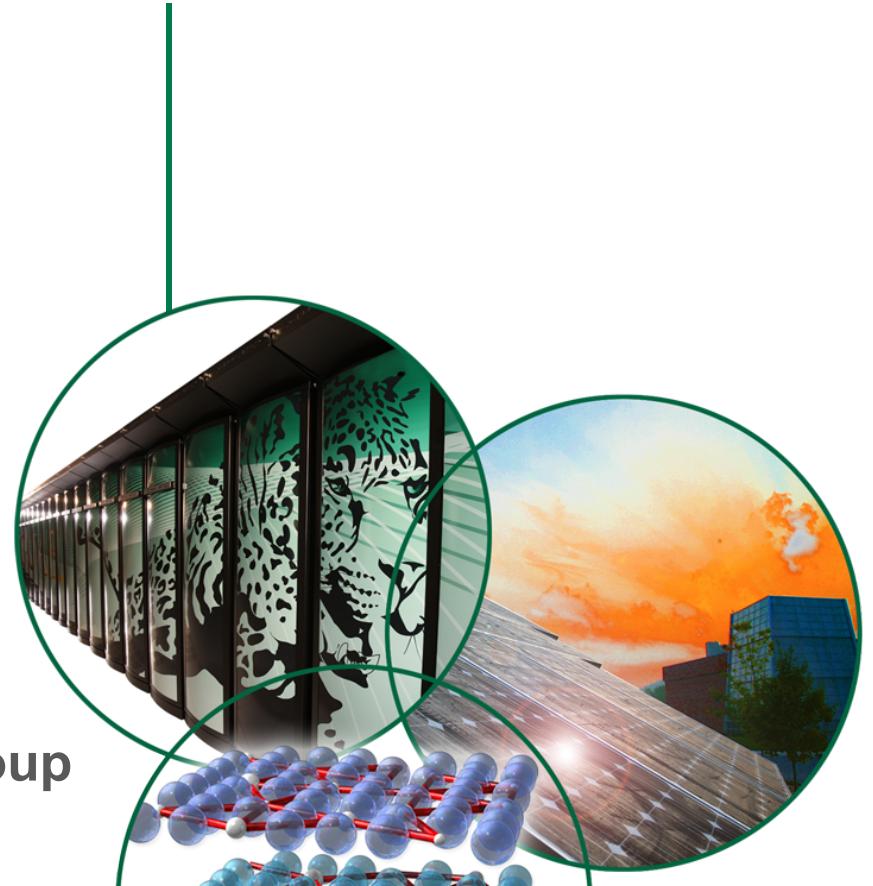
# **Recent development of energetic particle gyro-Landau fluid models**

Don Spong – ORNL Fusion Theory Group

Transport Task Force Meeting

San Diego, California

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# **Gyro-Landau fluid approach**

- Hammett, Perkins (PRL, 1990)
- Landau damping in fluid model using improved equation of state
- dissipative term  $\sim |k_{||}|v_{th}$  introduces collisionless phase-mixing
- N-pole Padé approximation to Z-function
- $|k_{||}| \rightarrow |\nabla_{||}|$  non-local (global) operator
- Requires Fourier (spectral) representation
- Rapid/reduced dimensionality formulation for EP-driven Alfvénic modes (Spong, Carreras, Hedrick, POP 1992, 1994)
- Non-perturbative, actively benchmarking with other methods

# **Outline**

- Basic TAEFL equations, solution method
- Recent applications
  - Validation with ECEI mode structure imaging from DIII-D
  - JET AE damping simulations
  - ITPA-EP group linear/nonlinear benchmarks
- Extensions of the basic model
  - Acoustic mode coupling
  - More general EP distributions
  - Initial value → eigenvalue methods
  - EP FLR effects

# TAEFL is a hybrid MHD-energetic particle gyrofluid model based on the reduced MHD FAR code:

$$\frac{\partial \psi}{\partial t} = \nabla_{||}\phi + \eta J_{\zeta} + \rho_i^2 \left( \frac{\pi}{2} \right)^{1/2} \left( \frac{v_A^2}{v_{Te}} \right) |\nabla_{||}| \nabla_{\perp}^2 \psi$$

Ion FLR

$$\frac{\partial U}{\partial t} = -\nabla_{||} \left( \frac{J_{\zeta}}{B_{\zeta}} \right) + \sum_{thermal, fast} \hat{\zeta} \times \vec{\nabla} \left( \frac{R}{|B|} \right) \bullet \vec{\nabla} n_f T_{f0} + \omega_r \rho_i^2 \nabla_{\perp}^2 U - c_0 \frac{(\beta_e + \beta_i)}{\omega_r} \text{Im} \left[ X_e'' + X_i'' - \frac{(X_i' - X_e')^2}{2 + X_e + X_i} \right] \Omega_d^2(\phi)$$

Reduced  
MHD  
Ohm's law  
and vorticity  
equation

$$\frac{\partial n_f}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_d(n_f) - n_{f0} \nabla_{||} V_{||,f} + \frac{q_f n_{f0}}{m_f \Omega_{cf}} \Omega_d(\phi) - \frac{q_f}{m_f \Omega_{cf} n_{f0}} \frac{dn_{f0}}{dr} \left( \frac{1}{r} \frac{\partial \phi}{\partial \theta} - \frac{\partial \psi_0 / \partial r}{R^2 B} \frac{\partial \phi}{\partial \zeta} \right)$$

EP Landau closure

EP  $\omega_*$  drive

$$\frac{\partial v_{||,f}}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_d(v_{||,f}) - \left( \frac{\pi}{2} \right)^{1/2} v_{th,f} |\nabla_{||}| v_{||,f} - \frac{v_{th,f}^2}{n_{f0}} \nabla_{||} n_f - \frac{q_f v_{th,f}^2}{m_f \Omega_{cf} R n_{f0}} \frac{dn_{f0}}{dr} \left( \frac{1}{r} \frac{\partial \psi}{\partial \theta} - \frac{\partial \psi_0 / \partial r}{R^2 B} \frac{\partial \psi}{\partial \zeta} \right)$$

Gyrofluid energetic  
particle closure  
moments

where  $\Omega_d \propto (\hat{b} \times \vec{\nabla} B) \bullet \vec{\nabla}$ ,  $X_{e,i} = \xi_{e,i} Z(\xi_{e,i})$ ,  $\xi_{e,i} = \omega_r / \sqrt{2} |\nabla_{||}| v_{th,e,i}$

# ***Outline***

- Basic TAEFL equations, solution method
- Recent applications
  - **Validation with ECEI mode structure imaging from DIII-D**

# **MHD parity breaking – EP kinetic effects**

- The standard MHD model has symmetry properties that allow use of reduced Fourier series:

$$\phi = \sum_{m,n} \phi_{mn,s}(\rho) \sin(m\theta + n\zeta); \quad \psi = \sum_{m,n} \psi_{mn,c}(\rho) \cos(m\theta + n\zeta)$$

i.e., functions follow  $g(\theta, \zeta) = \pm g(-\theta, -\zeta)$

- However, a variety of effects can break this symmetry
  - Plasma flows, two-fluid effects, up-down equilibrium asymmetry (single-null divertor)
  - Kinetic effects, Landau resonance, drifts,...
  - Require full representations for all dynamical variables

$$Y = \sum_{m,n} [Y_{mn,c}(\rho) \cos(m\theta + n\zeta) + Y_{mn,s}(\rho) \sin(m\theta + n\zeta)]$$

# **TAEFL:hybrid MHD-EP gyrofluid model without EP, 1<sup>st</sup> two equations maintain MHD symmetry**

$$\frac{\partial \psi}{\partial t} = \nabla_{||}\phi + \eta J_\zeta \quad \longrightarrow \quad \phi, U = \sum_{m,n} (\phi, U)_{mn,s}(\rho) \sin(m\theta + n\zeta); \quad \psi, J_\zeta = \sum_{m,n} (\psi, J_\zeta)_{mn,c}(\rho) \cos(m\theta + n\zeta)$$
$$\frac{\partial U}{\partial t} = -\nabla_{||} \left( \frac{J_\zeta}{B_\zeta} \right)$$

# TAEFL: hybrid MHD-EP gyrofluid model

*without EP, 1<sup>st</sup> two equations maintain MHD symmetry  
with EP, symmetry is broken - non-perturbative effects in TAEFL*

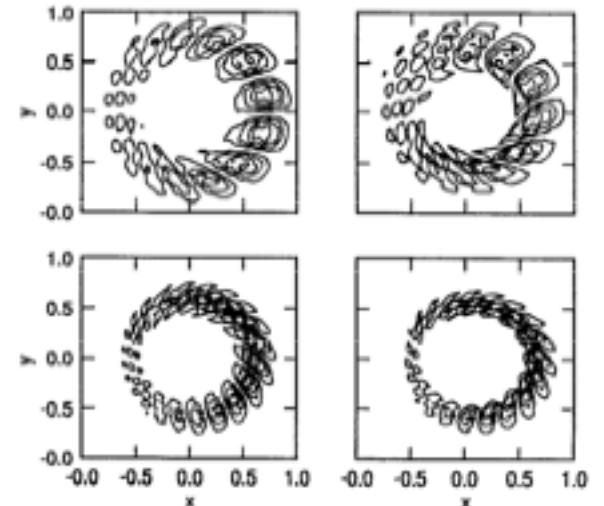
$$\frac{\partial \psi}{\partial t} = \nabla_{||}\phi + \eta J_\zeta$$

$$\frac{\partial U}{\partial t} = -\nabla_{||} \left( \frac{J_\zeta}{B_\zeta} \right) + \sum_{thermal, fast} \hat{\zeta} \times \vec{\nabla} \left( \frac{R}{|B|} \right) \bullet \vec{\nabla} n_f T_{f0}$$

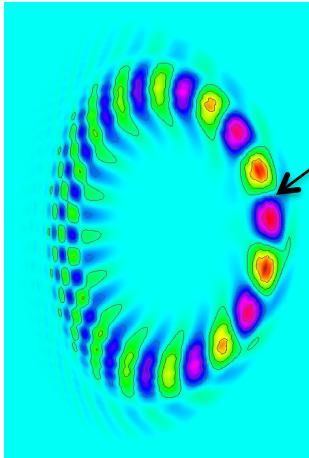
$$\frac{\partial n_f}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_{df}(n_f) - n_{f0} \nabla_{||} v_{||,f} + \frac{q_f n_{f0}}{m_f \Omega_{cf}} \Omega_{df}(\phi) + \frac{q_f}{m_f \Omega_{cf}} \Omega_{*f}(\phi)$$

$$\frac{\partial v_{||,f}}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_{df}(v_{||,f}) - \left( \frac{\pi}{2} \right)^{1/2} v_{th,f} |\nabla_{||}| v_{||,f} - \frac{v_{th,f}^2}{n_{f0}} \nabla_{||} n_f - \frac{q_f v_{th,f}^2}{m_f \Omega_{cf} R} \Omega_{*f}(\psi)$$

$\nabla_{||}$ ,  $\Omega_{df}$ ,  $\Omega_{*f}$ ,  $\hat{\zeta} \times \vec{\nabla} \left( \frac{R}{|B|} \right) \bullet \vec{\nabla}$  operators change parities (i.e.,  $\sin \rightarrow \cos$ / $\cos \rightarrow \sin$ )



Linear TAEFL: D. Spong, et al.,  
Phys. Fl. **B4** (1992) 3316.

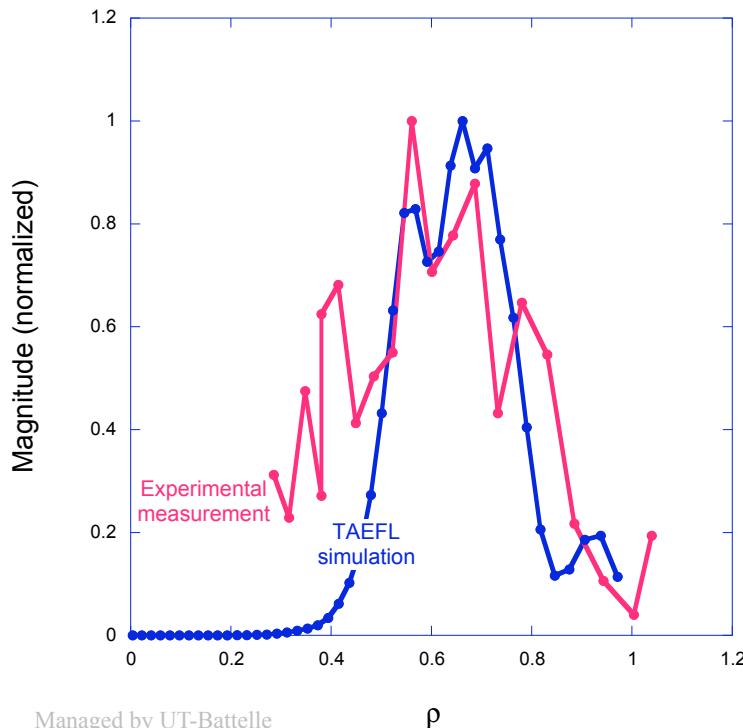


“twisted”  
form of mode  
structure  
(TAEFL  
perturbative  
effect) leads  
to observed  
phase variation

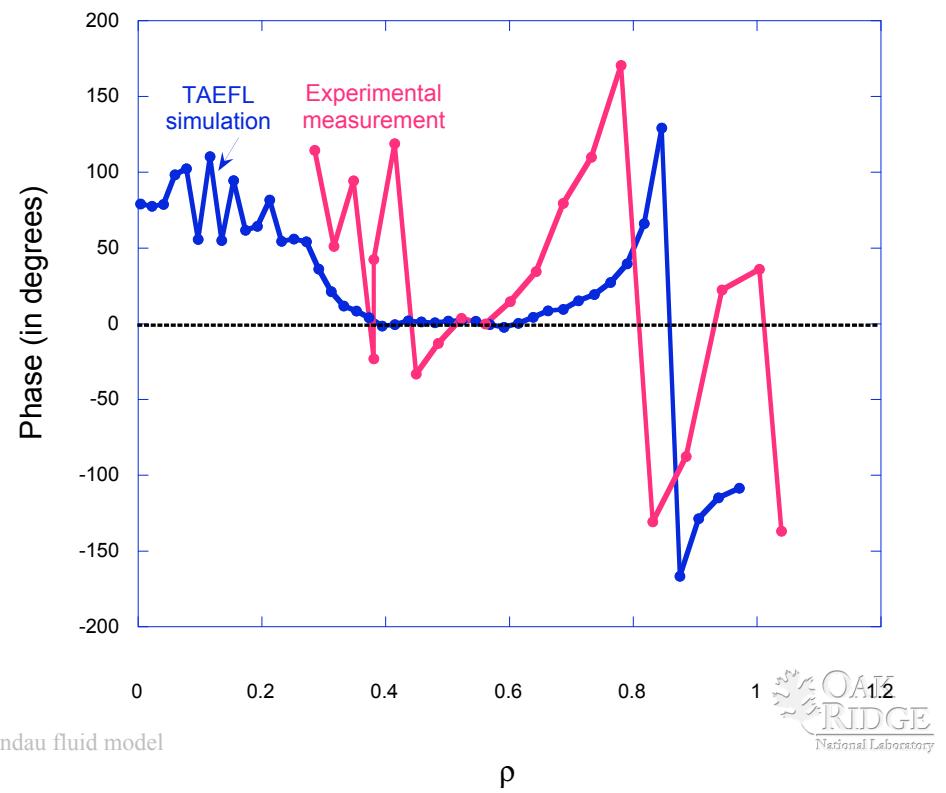
# The consequence of MHD parity breaking is that mode structure at fixed toroidal plane will not be up-down symmetric

“Measurements, Modeling, and Electron Cyclotron Heating Modification of Alfvén Eigenmode Activity in DIII-D,”  
M A Van Zeeland, et al., submitted to Nuclear Fusion (2009)

## magnitude comparison



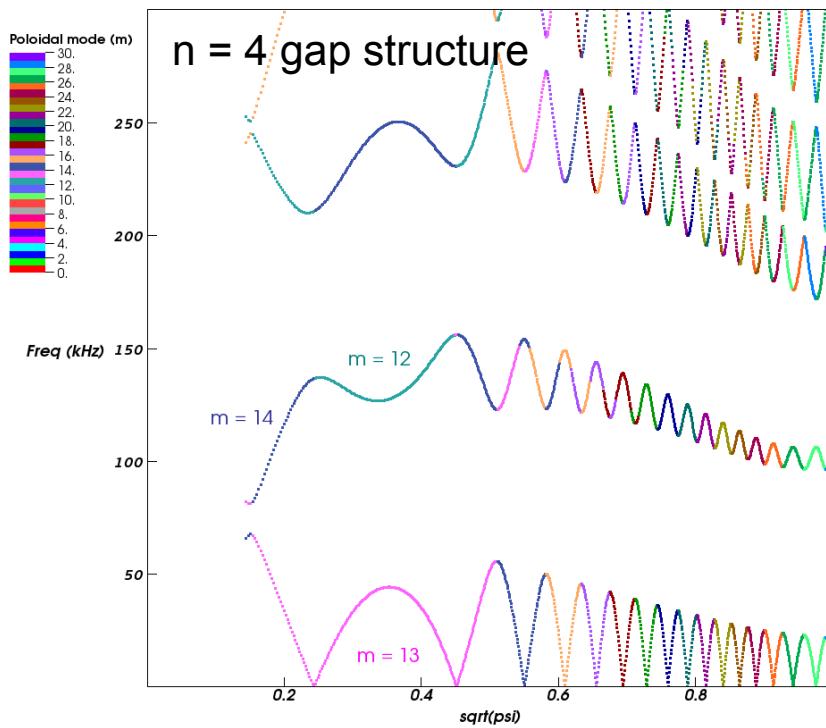
## ECE phase comparison



# *Recent ECEI measurements on DIII-D (B. Tobias, et al.) have provided direct imaging of TAE/RSAE mode structures*

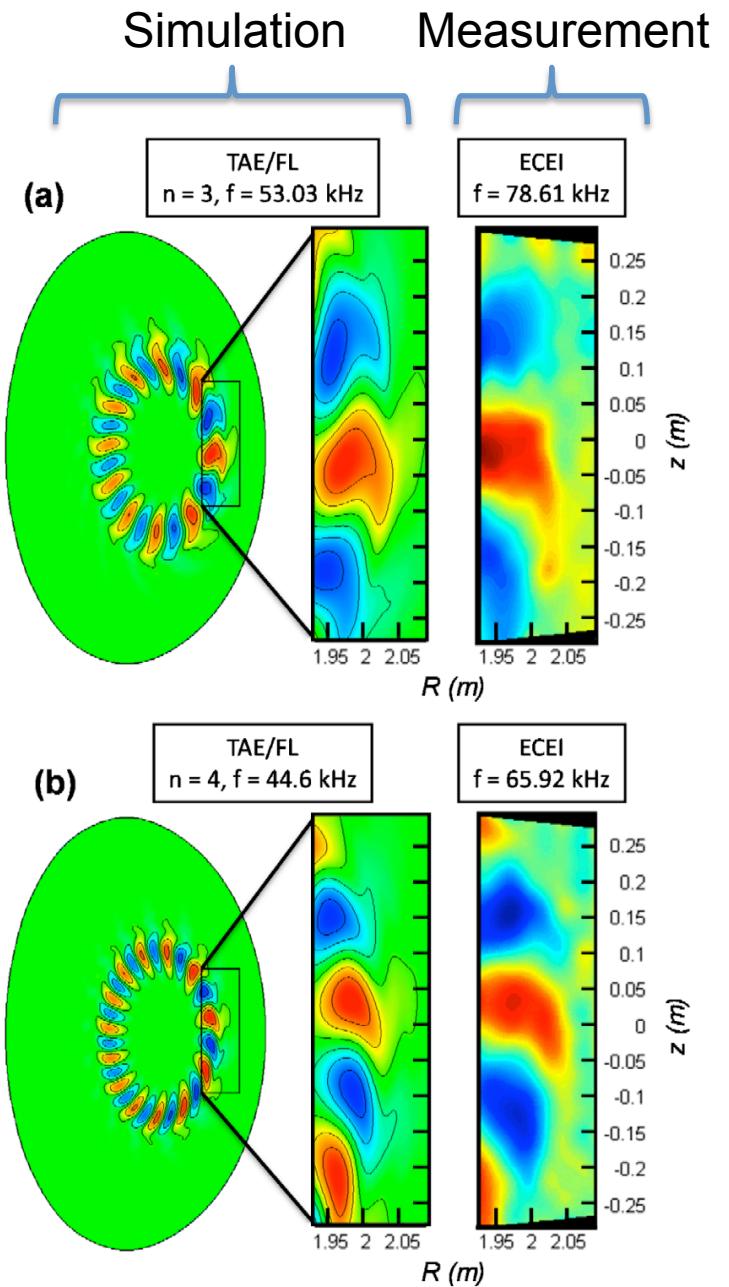
*- these match well with eigenfunctions from the TAEFL gyrofluid model*

“Electron Cyclotron Emission Imaging of Fast Ion Diamagnetic Shearing in 2D Alfvén Eigenmode Structures,” B. Tobias, et al.  
Phys. Rev. Lett. **106**, 075003 (2011).



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for the U.S. Department of Energy

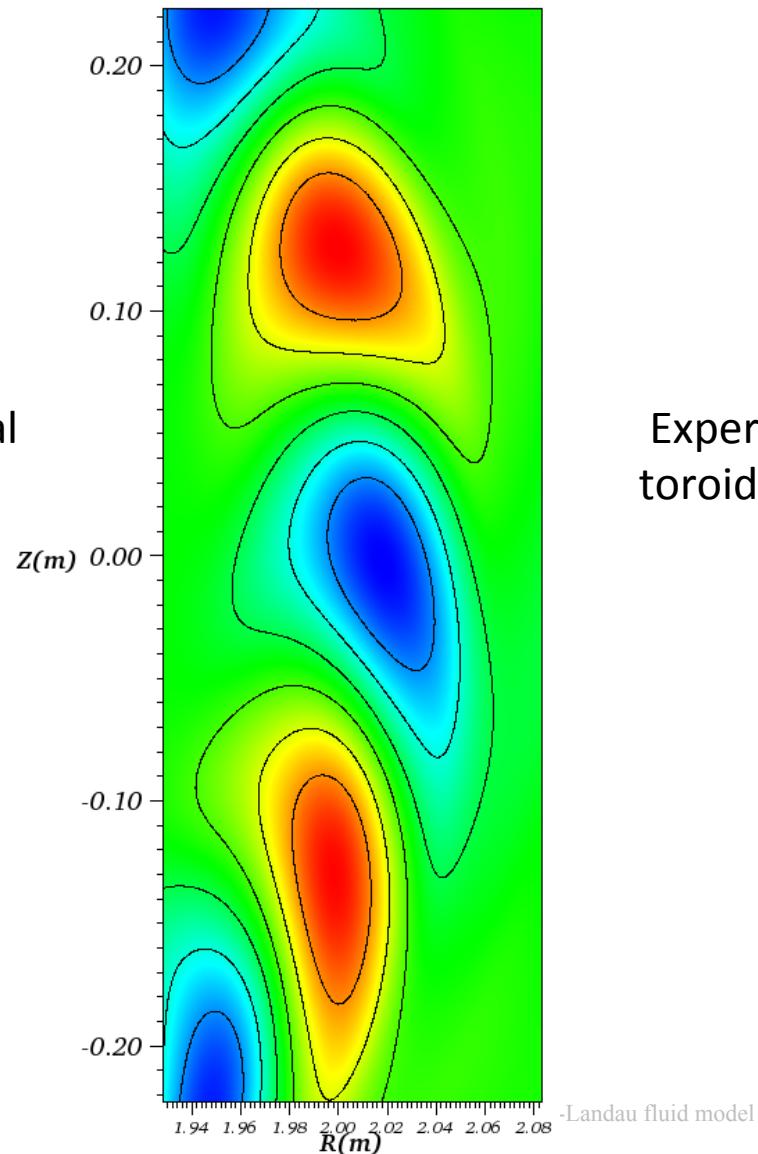
EP gyro-Landau fluid model



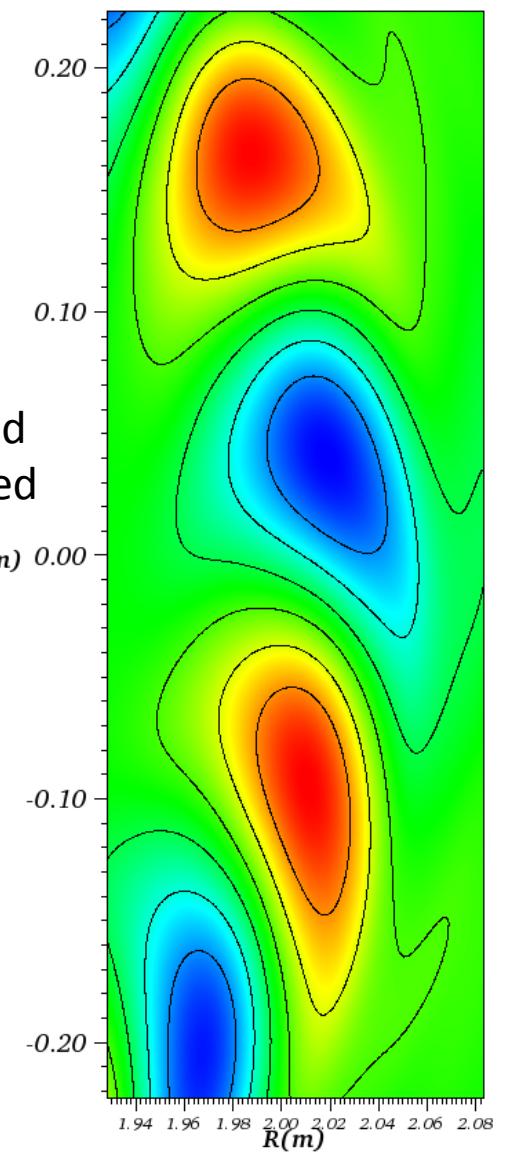
National Laboratory

# *Inclusion of electric field shearing and rotation had minimal effect on mode structure*

ExB and toroidal  
flow = 0

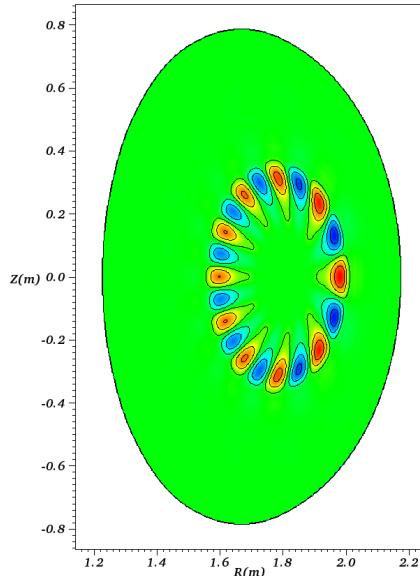


Experimental ExB and  
toroidal flows included

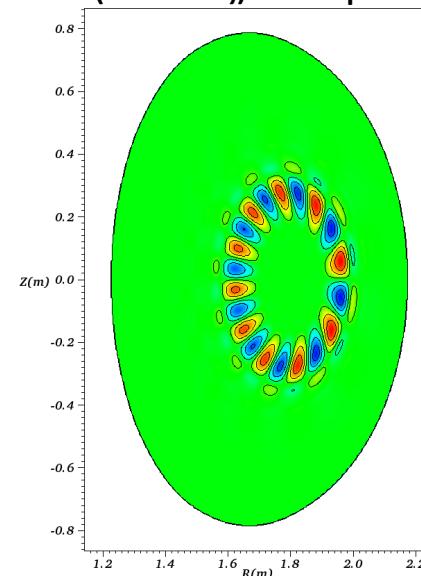


# Magnitude/phasing between cos/sin components determines twist in eigenfunction. Driven by fast ion profiles/parameters

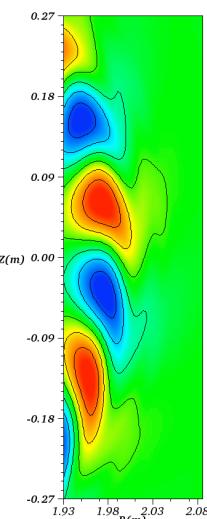
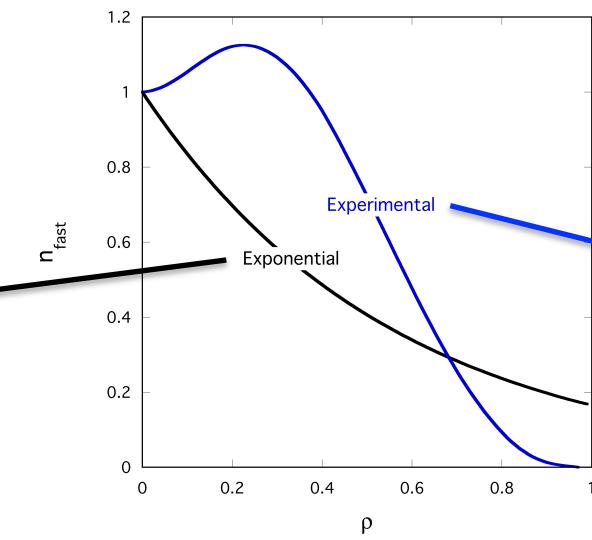
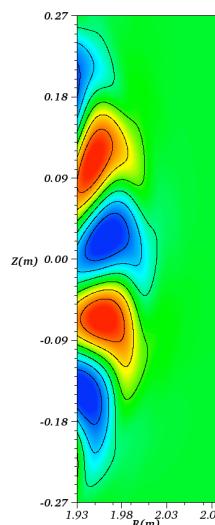
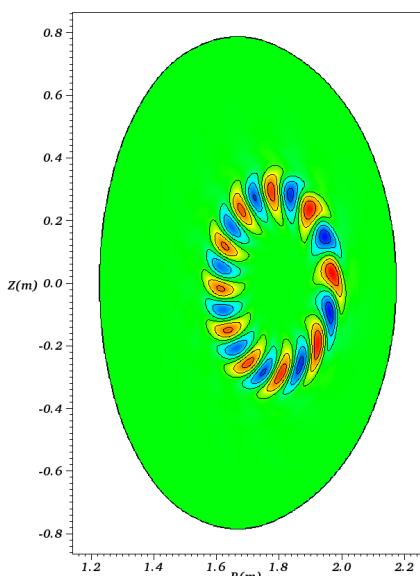
$\cos(m\theta+n\zeta)$  component



$\sin(m\theta+n\zeta)$  component



TAEFL eigenfunction



# ***Outline***

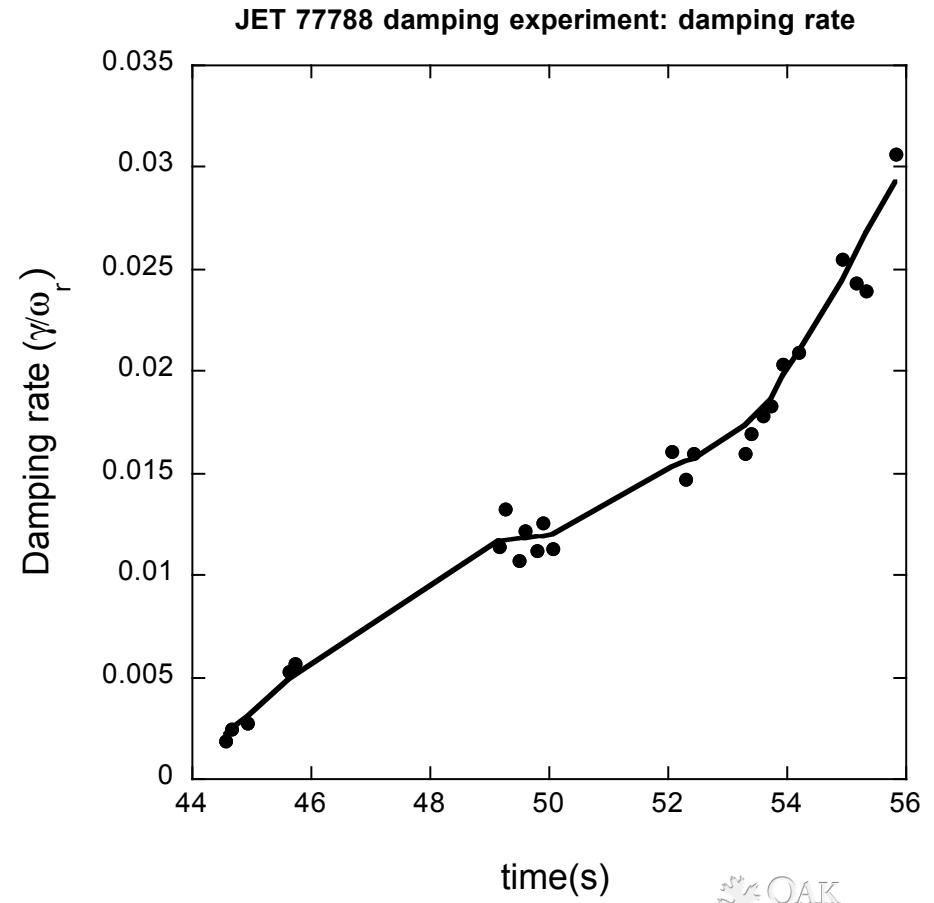
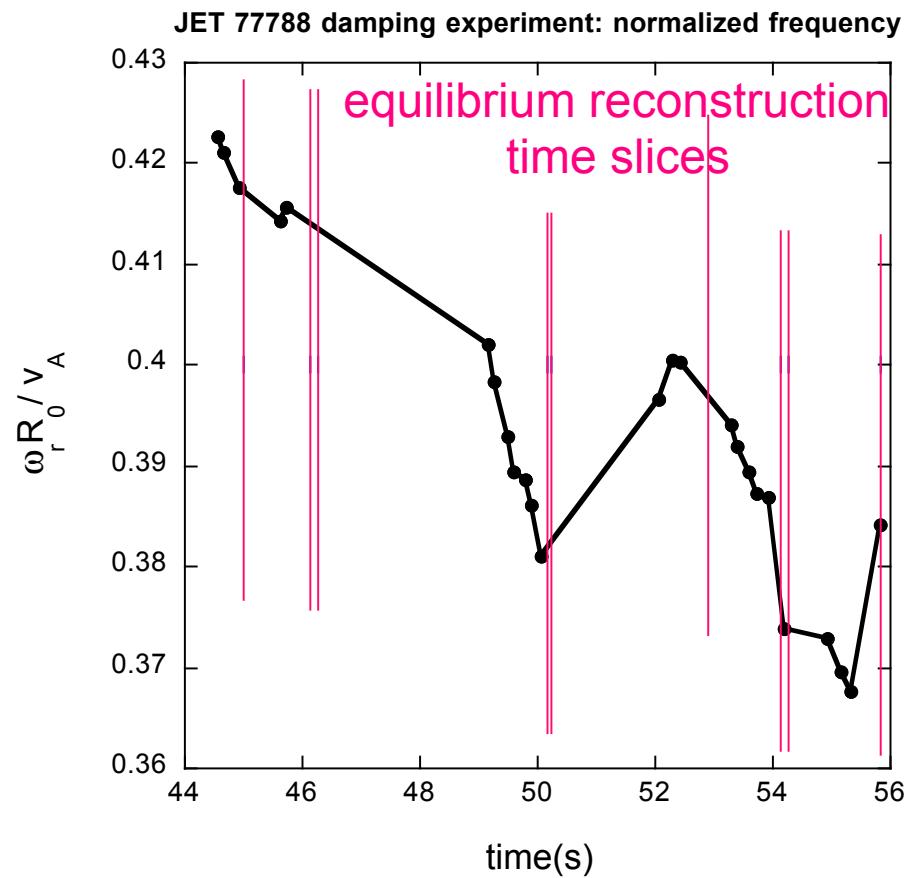
- Basic TAEFL equations, solution method
- Recent applications
  - Validation with ECEI mode structure imaging from DIII-D
  - **JET AE damping simulations**

## ***Damping effects included in TAEFL for modeling JET antenna Alfvén damping measurements:***

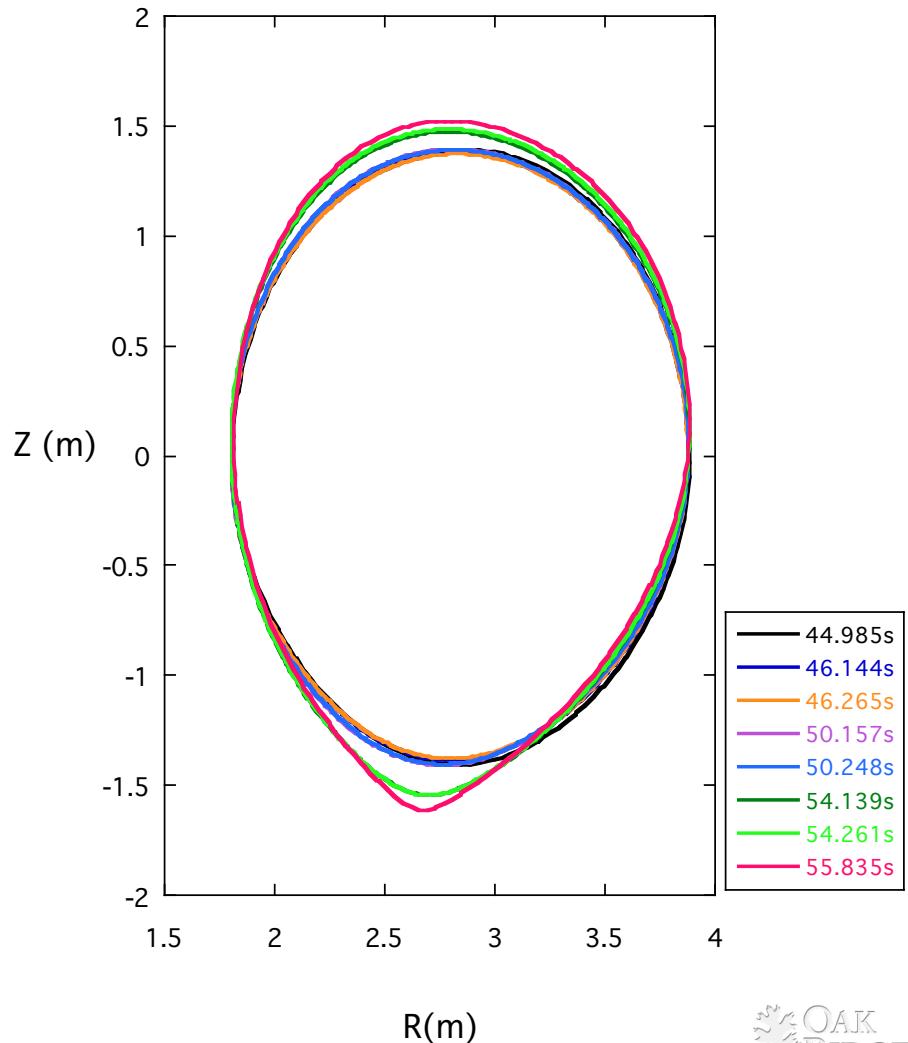
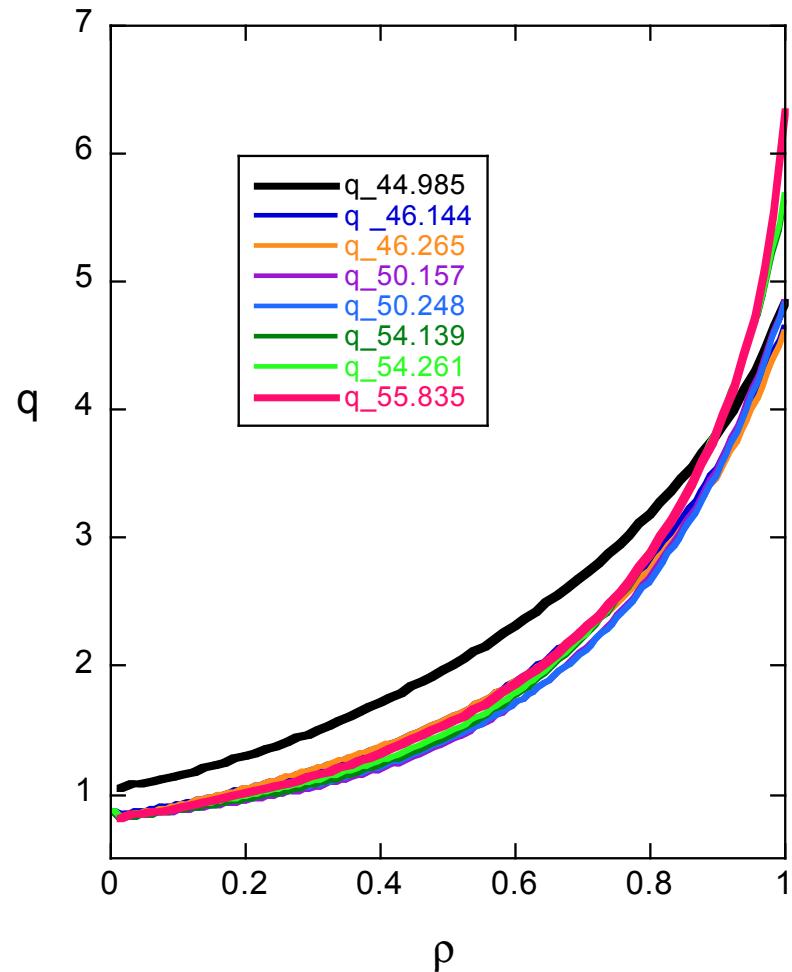
- Continuum damping (contained in the Ohm's law and vorticity equation operators)
- Thermal ion Landau damping
- Thermal electron Landau damping
- Radiative damping (ion FLR terms)
- Resistivity

# *n = 3 Alfvén frequency antenna excitations in JET discharge #77788 are modeled*

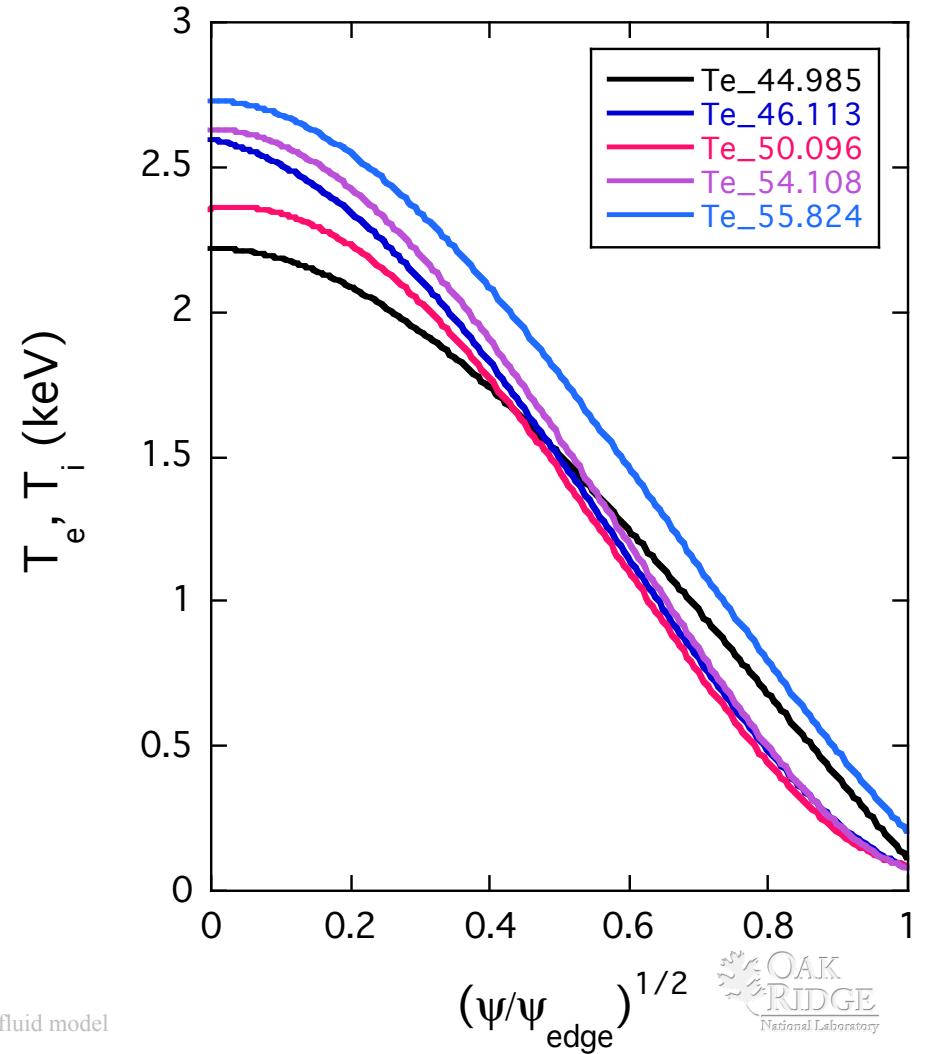
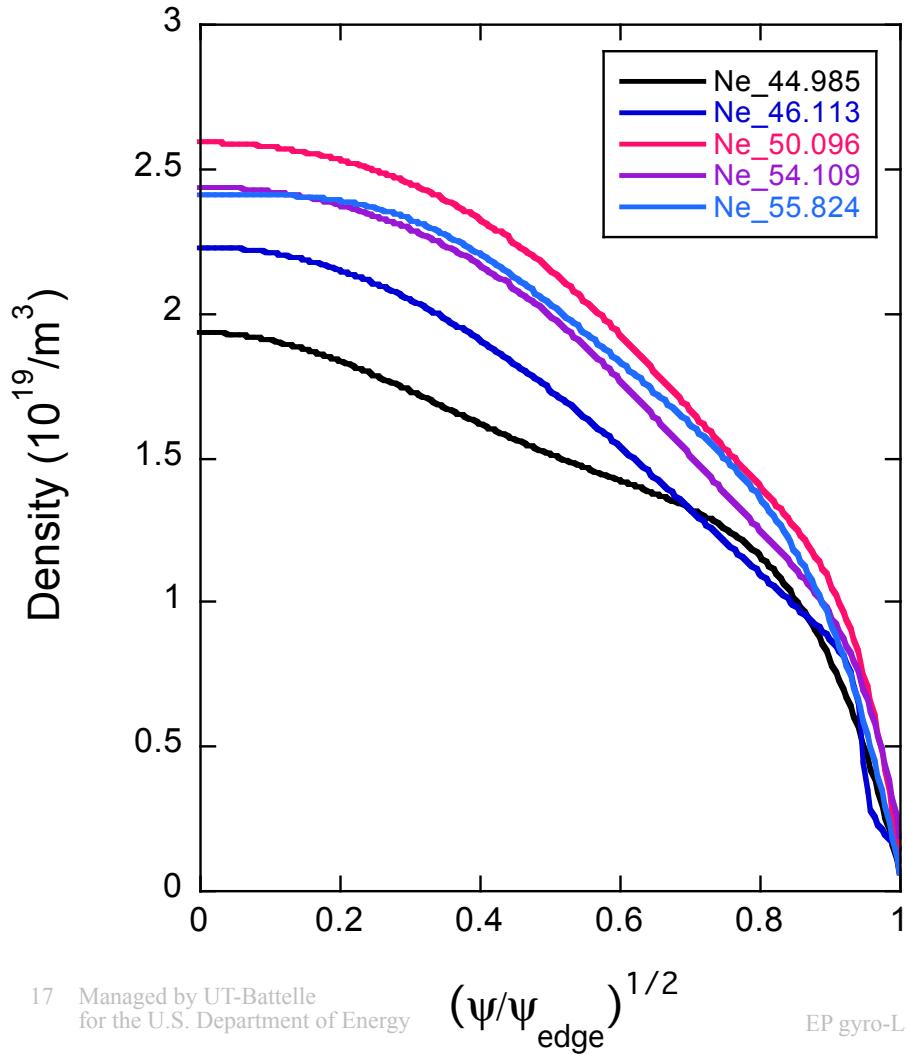
These JET data were provided by Theodoros Panis 9/25/2009



# *Evolution of q-profile and plasma shapes*

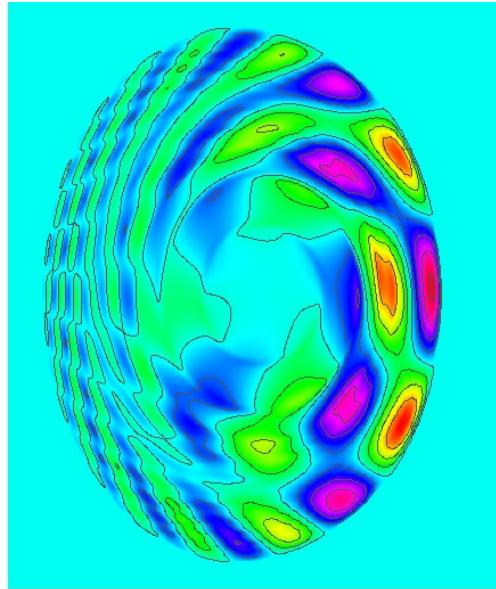


# *Evolution of plasma density and temperature profiles*

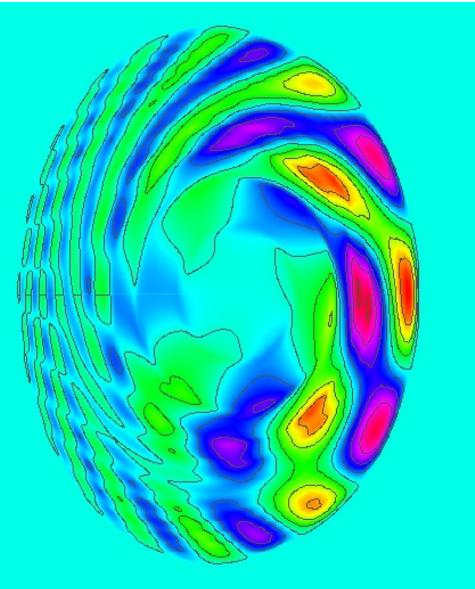


Eigenmode  
structures  
(shown at the  
 $\zeta = 0$  plane)

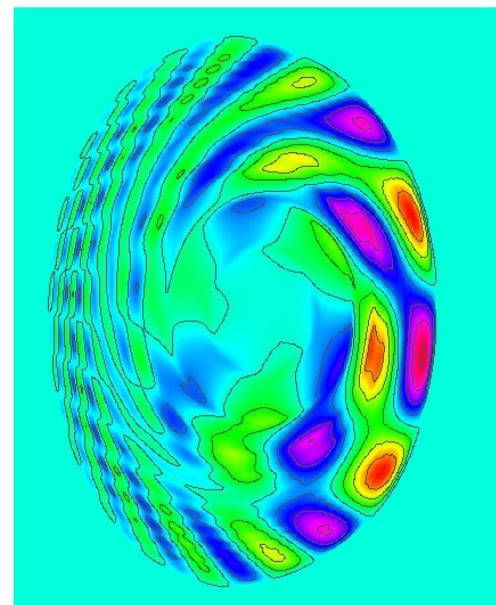
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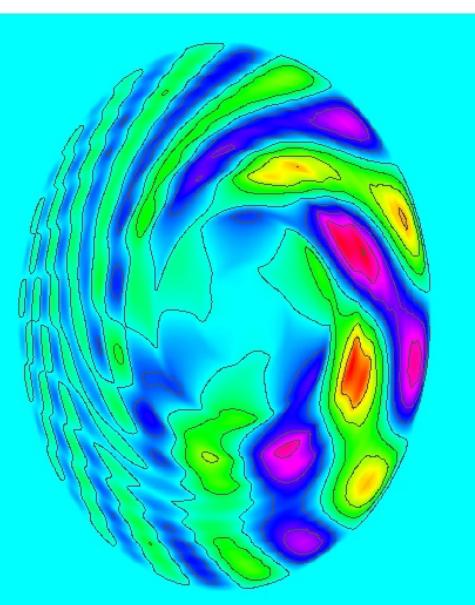
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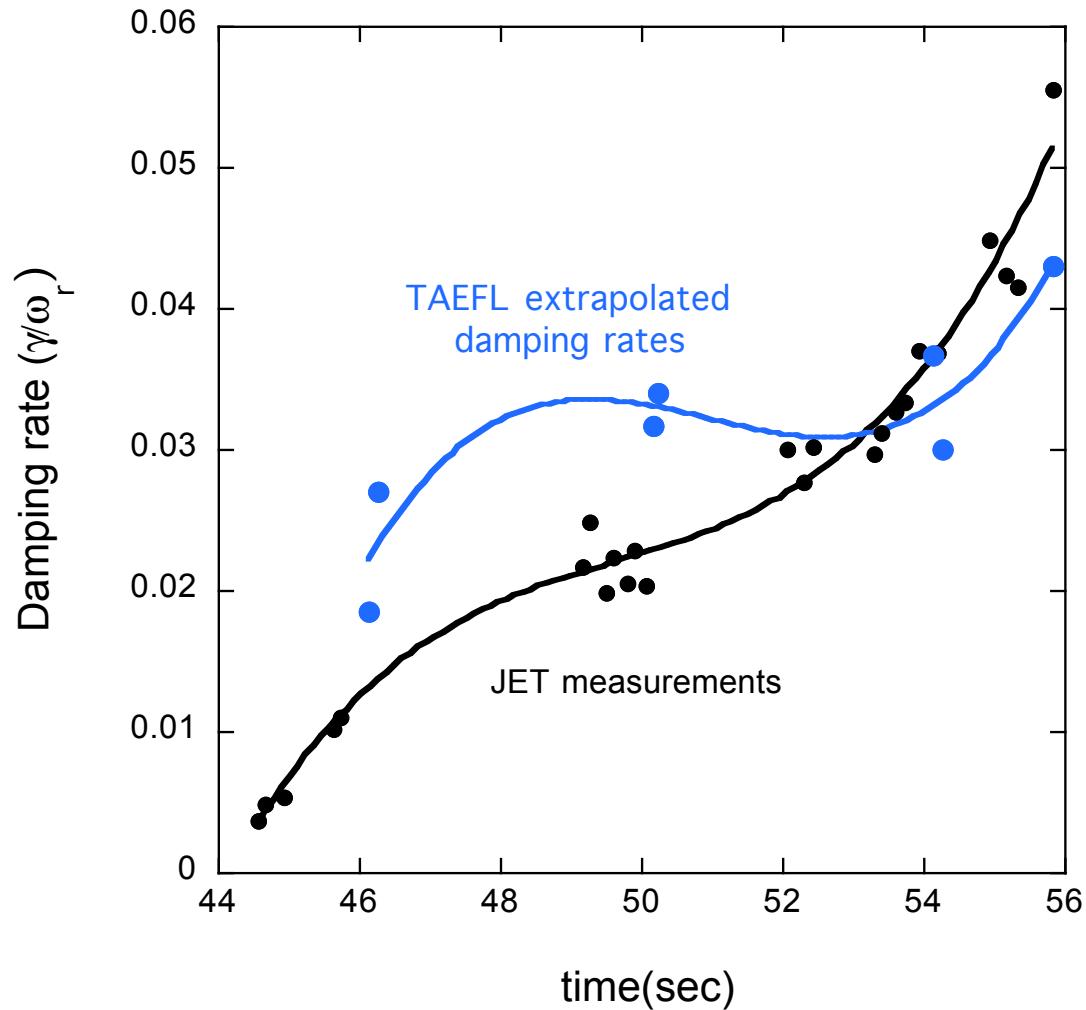
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55835



$\beta_{\text{fast}}$  threshold is determined from damping effects: damping inferred by extrapolation  $\beta_{\text{fast}} = 0$



# **Outline**

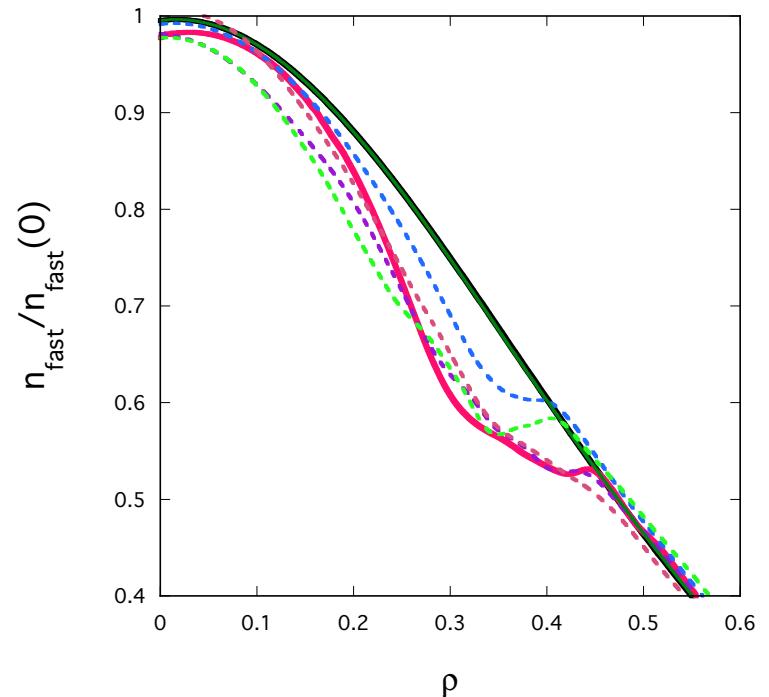
- Basic TAEFL equations, solution method
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  - JET AE damping simulations
  - **ITPA-EP group linear/nonlinear benchmarks**

## *EPM nonlinear saturation study*

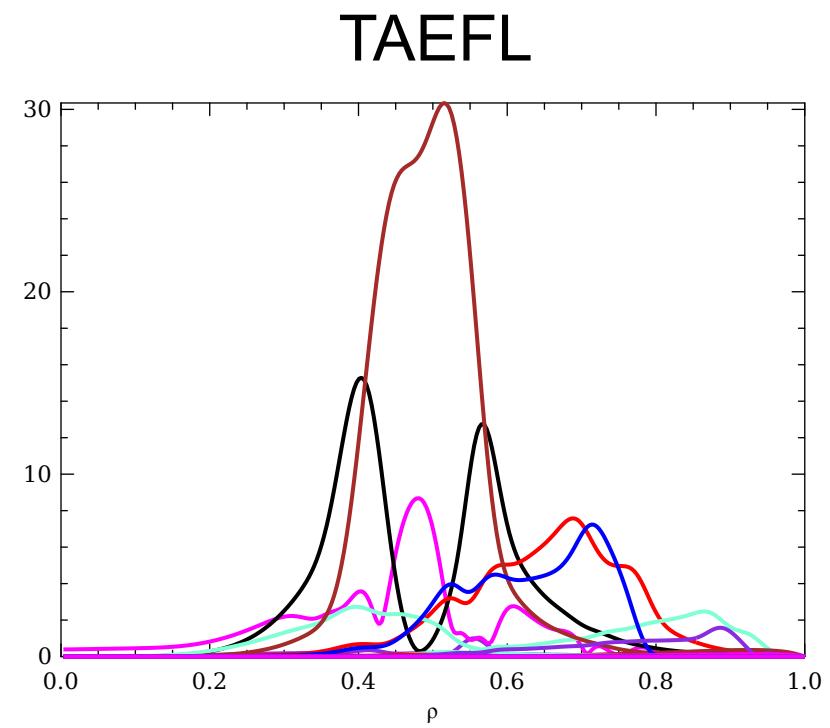
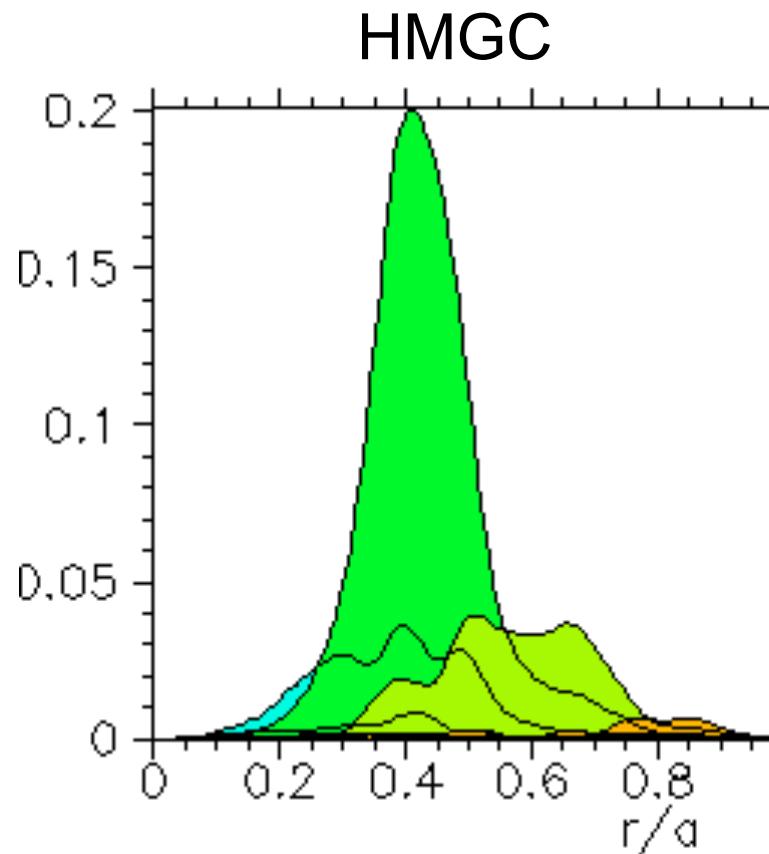
- Benchmark test case chosen from previous case of S. Briguglio:
  - $\varepsilon = 1/10$ ,  $q = 1.1 + 0.8116s^2$ ,  $s = (1 - \psi/\psi_0)^{1/2}$ ,  $m_H/m_i = 2$ ,  $(T_H/m_H)^{1/2}/\Omega_h a = 0.01$ ,  $(T_H/m_H)^{1/2}/v_A = 1$ ,  $n_H(s) = n_{H0} \exp(-ks^2)$
  - In particular, we chose to study the EPM mode found at  $k = 2.5$ ,  $n_H(0)/n_{ion}(0) = 0.0025$  to 0.003 since several codes agreed on this
- Goal: study dependence of growth rates and nonlinear saturation levels on drive strength

# TAEFL single and multi-toroidal mode runs

- TAEFL: reduced MHD model with gyro-Landau closure
  - Single-n nonlinear run
    - $n = 4, m = 0$  to 20;  $n = 0, m = 0$  to 9
  - Multi – n nonlinear run
    - $n = 0; m = 0$  to 9       $n = 2; m = 0$  to 10
    - $n = 3; m = 0$  to 10       $n = 4; m = 0$  to 12
    - $n = 5; m = 1$  to 15       $n = 6; m = 2$  to 16
- Nonlinear saturation mechanisms
  - Nonlinear beating of  $n > 0$  into  $n = 0$ 
    - Flattening in  $n_{\text{fast}}$  profile, zonal flows, perturbed currents
  - Confinement of  $n_{\text{fast}}$  by convective eddies
    - Fluid version of particle-wave trapping
- Energy cascades to smaller scales

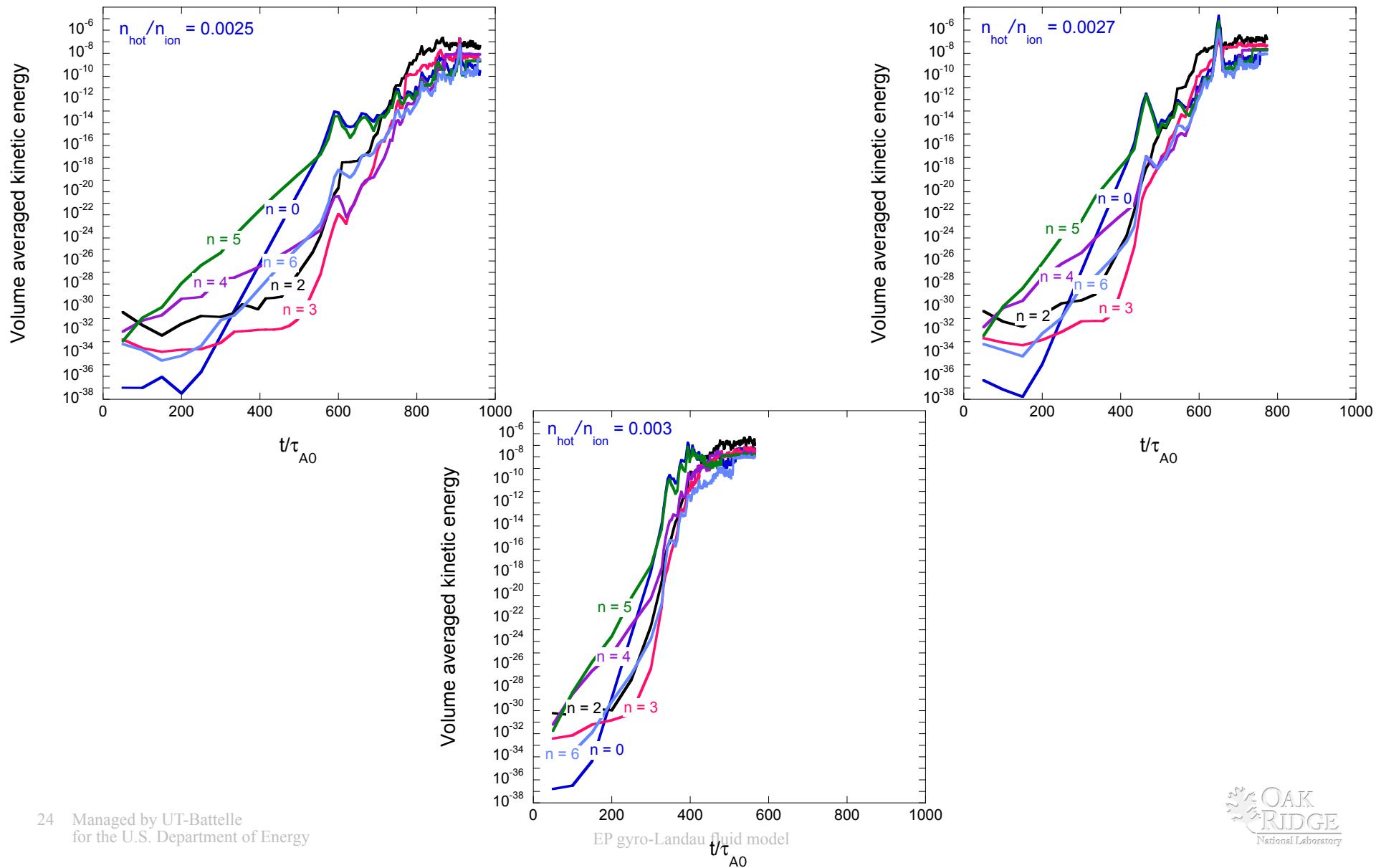


# Eigenfunction comparison

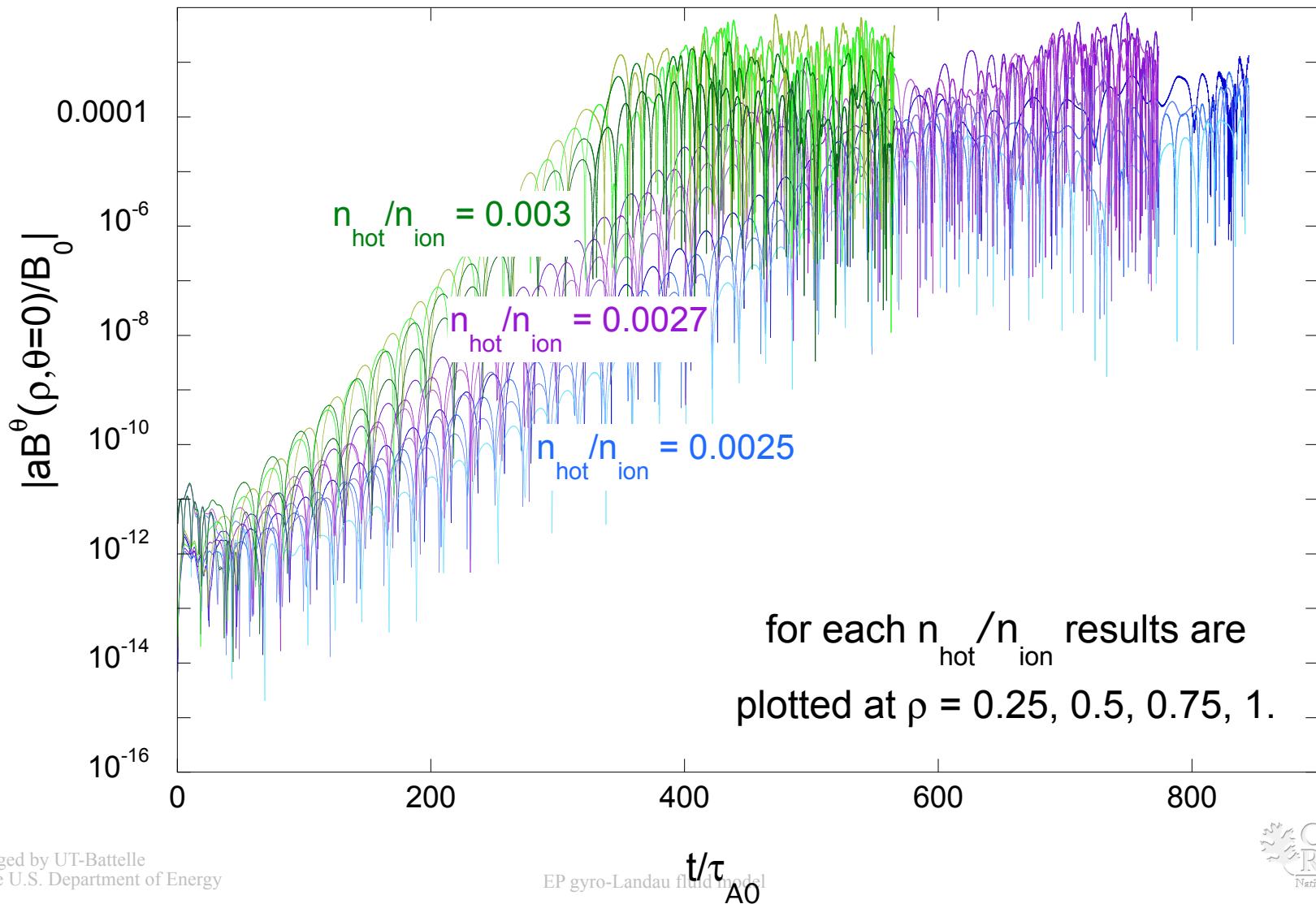


# TAEFL multiple toroidal mode energy evolution

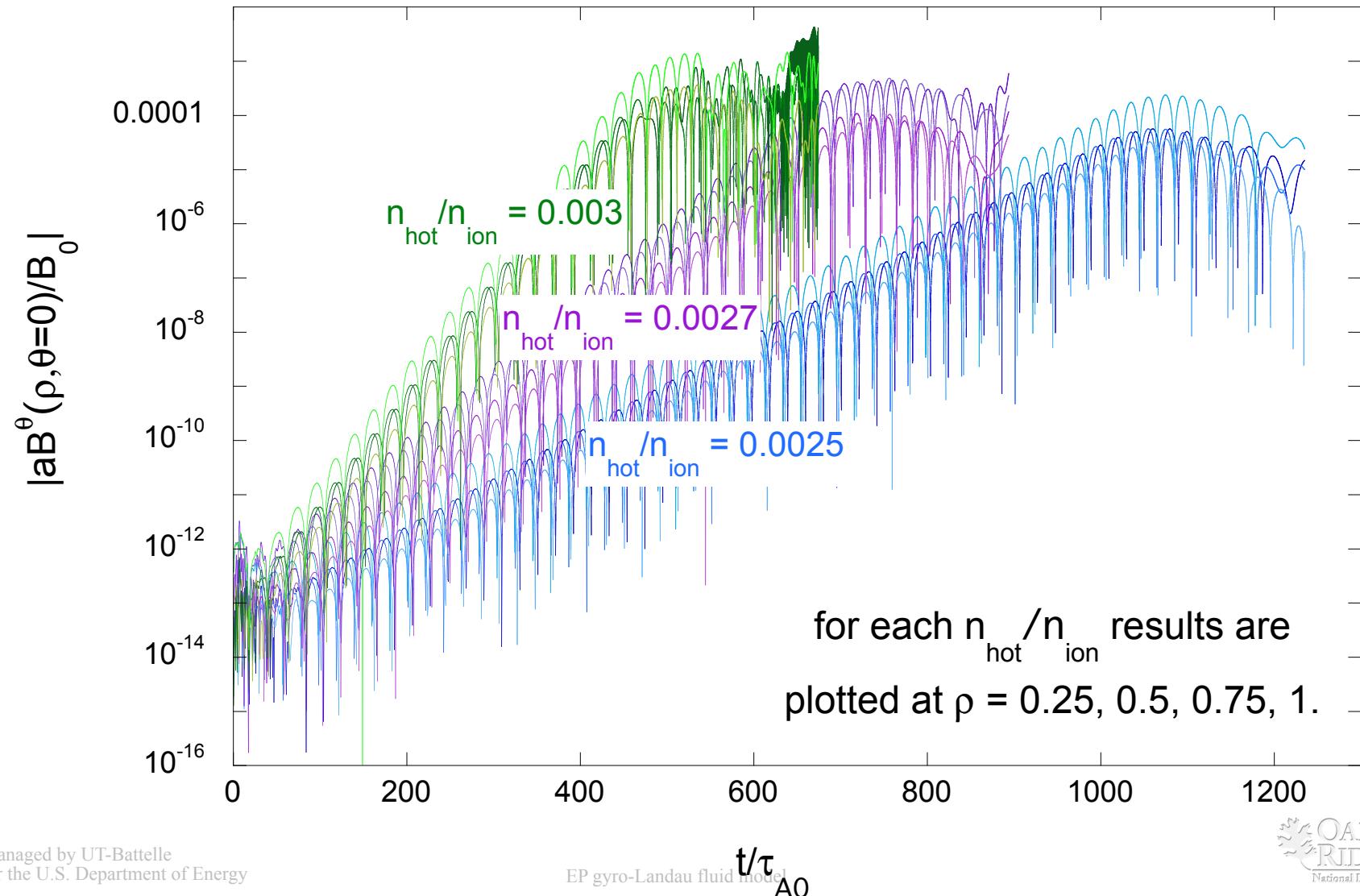
Kinetic energy evolution for multiple  $n$  simulations ( $n = 0, 2, 3, 4, 5, 6$  included)



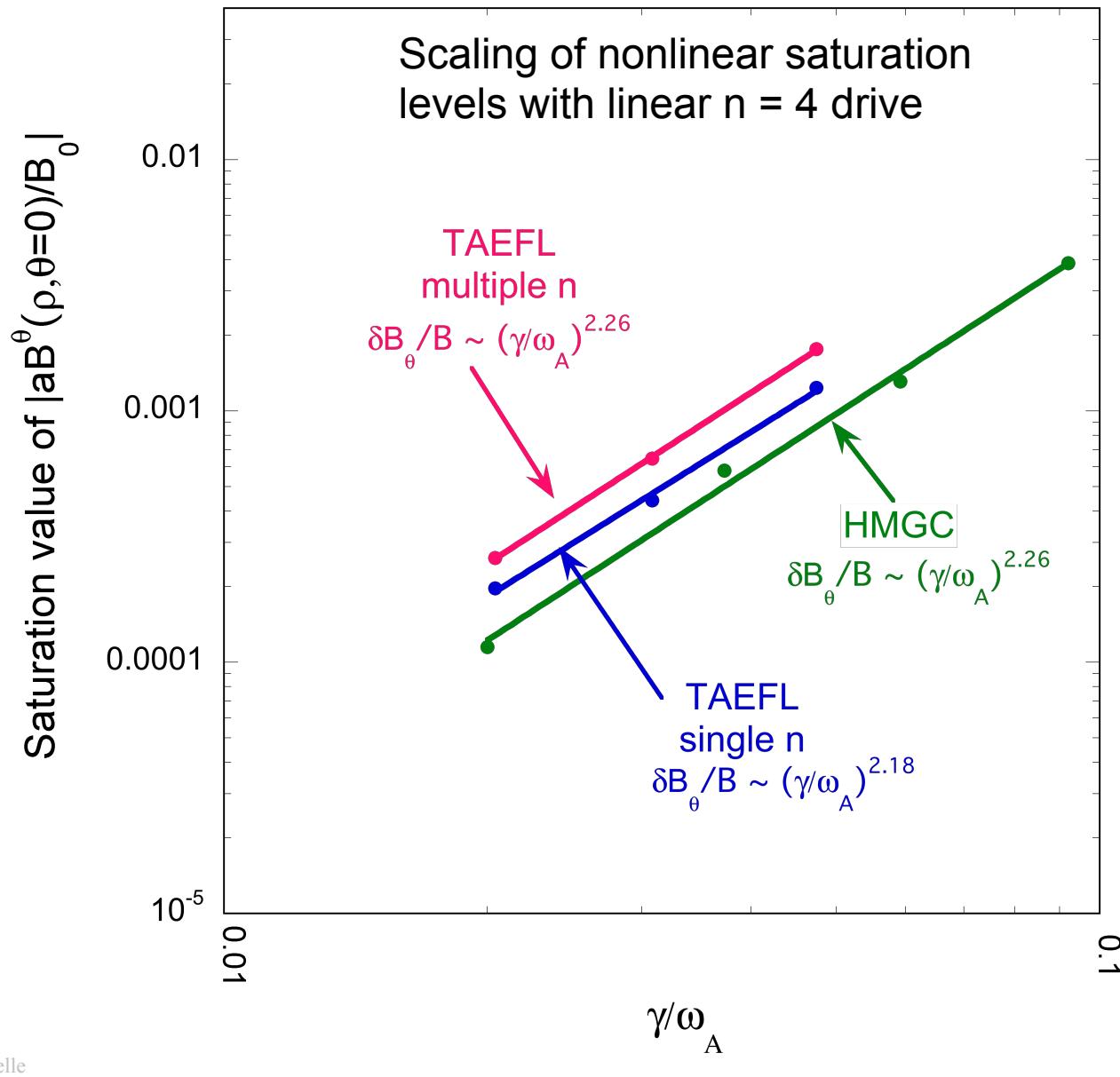
# Multi-toroidal mode $\delta B_\vartheta / B_0$ evolution



# *Single toroidal mode $\delta B_\vartheta / B_0$ evolution*



# Comparison of nonlinear saturation levels



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  - ITPA-EP group linear/nonlinear benchmarks
- **Extensions of the basic model**
  - **Acoustic mode coupling**
  - More general EP distributions
  - Initial value  eigenvalue methods
  - Extension to 3D configurations
  - EP FLR effects

# TAEFL Equations with damping and acoustic terms (acoustic coupling in green):

$$\frac{\partial \psi}{\partial t} = \nabla_{\parallel} \phi + \eta J_{\zeta} + \rho_i^2 \left( \frac{\pi}{2} \right)^{1/2} \left( \frac{v_A^2}{v_{Te}} \right) |\nabla_{\parallel}| \nabla_{\perp}^2 \psi - \frac{1}{n_{th} e} \nabla_{\parallel} (n_{th} k T_e)$$

Ion FLR

e/i Landau damping terms

$$\frac{\partial U}{\partial t} = -\nabla_{\parallel} \left( \frac{J_{\zeta}}{B_{\zeta}} \right) + \hat{\xi} \times \vec{\nabla} \left( \frac{R}{|B|} \right) \cdot \vec{\nabla} n_f T_{f0} + \hat{\xi} \times \vec{\nabla} \left( \frac{R}{|B|} \right) \cdot \vec{\nabla} n_{th} T_{th} + \omega_r \rho_i^2 \nabla_{\perp}^2 U - c_0 \frac{(\beta_e + \beta_i)}{\omega_r} \text{Im} \left[ X_e'' + X_i'' - \frac{(X'_i - X'_e)^2}{2 + X_e + X_i} \right] \Omega_d^2(\phi)$$

$$\frac{\partial n_f}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_d(n_f) - n_{f0} \nabla_{\parallel} v_{\parallel,f} + \frac{q_f n_{f0}}{m_f \Omega_{cf}} \Omega_d(\phi) - \frac{q_f}{m_f \Omega_{cf} n_{f0}} \frac{dn_{f0}}{dr} \left( \frac{1}{r} \frac{\partial \phi}{\partial \theta} - \frac{\partial \psi_0 / \partial r}{R^2 B} \frac{\partial \phi}{\partial \zeta} \right)$$

EP Landau closure

EP  $\omega_*$  drive

$$\frac{\partial v_{\parallel,f}}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_d(v_{\parallel,f}) - \left( \frac{\pi}{2} \right)^{1/2} v_{th,f} |\nabla_{\parallel}| v_{\parallel,f} - \frac{v_{th,f}^2}{n_{f0}} \nabla_{\parallel} n_f - \frac{q_f v_{th,f}^2}{m_f \Omega_{cf} R n_{f0}} \frac{dn_{f0}}{dr} \left( \frac{1}{r} \frac{\partial \psi}{\partial \theta} - \frac{\partial \psi_0 / \partial r}{R^2 B} \frac{\partial \psi}{\partial \zeta} \right)$$

$$\frac{\partial n_{th}}{\partial t} = \frac{\vec{B} \times \vec{\nabla} \phi}{B^2} \cdot \vec{\nabla} n_{0,th} + \Gamma n_{0,th} \vec{\nabla} \cdot \left[ \frac{\vec{B} \times \vec{\nabla} \phi}{B^2} + v_{\parallel,th} \hat{b} \right]$$

$$\frac{\Gamma}{c_s^2} \frac{\partial v_{\parallel,th}}{\partial t} = -\frac{1}{n_{0,th}} [\hat{b}_0 \cdot \vec{\nabla} n_{th} + \hat{b}_1 \cdot \vec{\nabla} n_{0,th}]$$

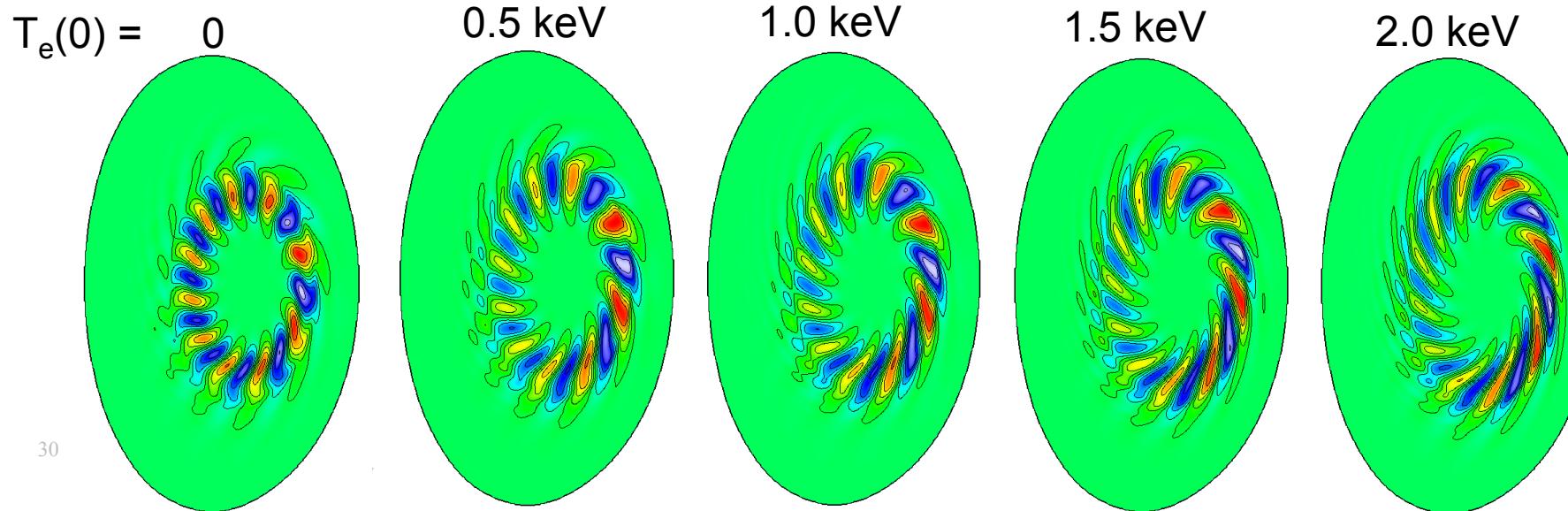
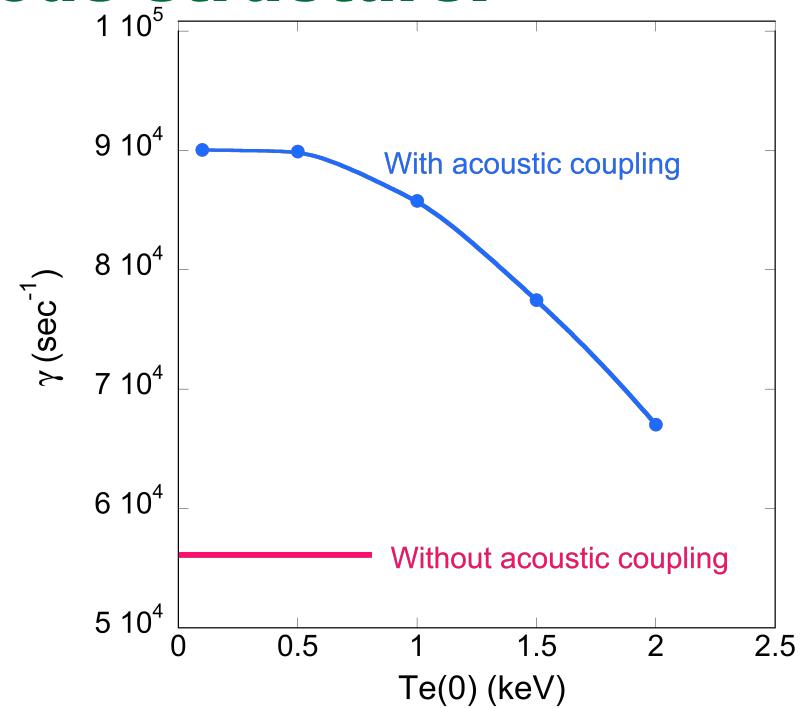
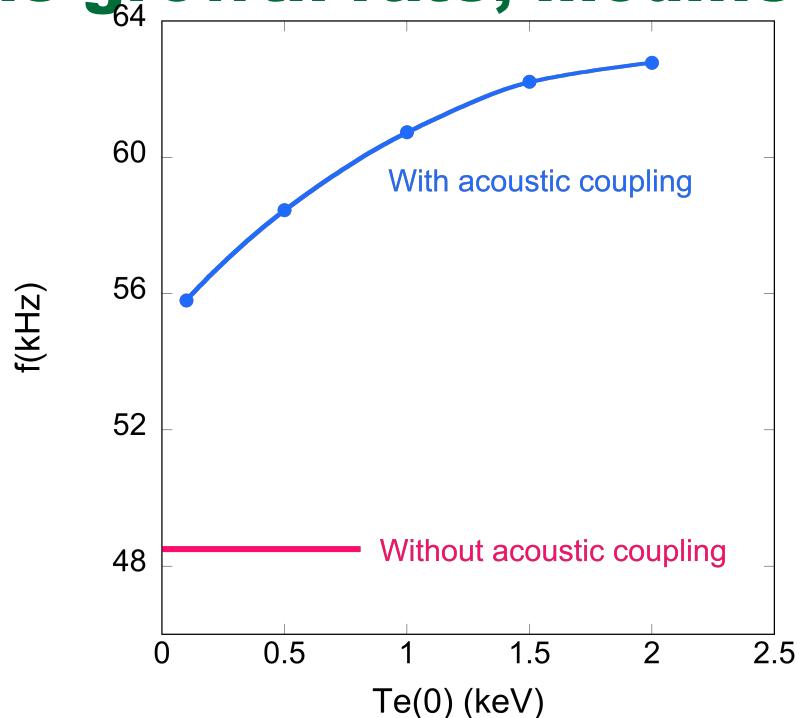
where  $\Omega_d \propto (\hat{b} \times \vec{\nabla} B) \cdot \vec{\nabla}$ ,  $X_{e,i} = \xi_{e,i} Z(\xi_{e,i})$ ,  $\xi_{e,i} = \omega_r / \sqrt{2} |\nabla_{\parallel}| v_{th,e,i}$

Ohm's Law and vorticity equation

Gyrofluid energetic particle closure moments

Acoustic-wave coupling

# Acoustic coupling increases the frequency, lowers the growth rate, modifies mode structure:



# More general EP distribution functions

- Structure of the highest order (closure) equation reflects the form of the fast ion distribution function
- Two-pole fit can be adapted to Maxwellian or slowing-down distribution function.

$$\frac{\partial n_f}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_d(n_f) - n_{f0} \nabla_{||} v_{||,f} + \frac{q_f n_{f0}}{m_f \Omega_{cf}} \Omega_d(\phi) - \frac{q_f}{m_f \Omega_{cf} n_{f0}} \frac{dn_{f0}}{dr} \left( \frac{1}{r} \frac{\partial \phi}{\partial \theta} - \frac{\partial \psi_0 / \partial r}{R^2 B} \frac{\partial \phi}{\partial \zeta} \right)$$

$$\frac{\partial v_{||,f}}{\partial t} = \frac{T_{f0}}{m_f \Omega_{cf}} \Omega_d(v_{||,f}) - \sqrt{2} \mathbf{A}_1 v_{th,f} |\nabla_{||}| v_{||,f} - 2 \mathbf{A}_0 \frac{v_{th,f}^2}{n_{0f}} \nabla_{||} n_f - \frac{2 \mathbf{A}_0 q_f v_{th,f}^2}{m_f \Omega_{cf} R n_{f0}} \frac{dn_{f0}}{dr} \left( \frac{1}{r} \frac{\partial \psi}{\partial \theta} - \frac{\partial \psi_0 / \partial r}{R^2 B} \frac{\partial \psi}{\partial \zeta} \right)$$

For  $Z(\xi) = Z^{(2)} = \frac{\xi - \mathbf{A}_1}{\mathbf{A}_0 + \mathbf{A}_1 \xi - \xi^2}$  = two pole approximation to Z function,  $\mathbf{A}_0 = \frac{1}{2}$ ,  $\mathbf{A}_1 = \frac{-i\sqrt{\pi}}{2}$

For Maxwellian,  $Z_{Max.}(\xi) = \frac{1}{\sqrt{\pi}} \int du \frac{e^{-u^2}}{u - \xi}$ , For a slowing-down distribution,  $Z_{SD}(\xi) = \frac{1}{\sqrt{\pi}} \int du \frac{1}{(u - \xi)(u^3 + u_c^3)}$

## **SUMMARY: the TAEFL gyrofluid model of EP stability has recently been successfully applied to:**

- Comparisons with DIII-D ECEI imaging of RSAE/TAE modes
- JET antenna AE damping measurements
- ITPA linear/nonlinear benchmark cases

## **Further improvements are underway in the areas of:**

- Acoustic mode coupling
- More general EP distribution functions
- Initial value solution -> eigenvalue solution (allows study of sub-dominant modes)
- EP FLR effects